Pruning Affects Tree Movement in Hurricane Force Wind
Edward F. Gilman, Forrest Masters, and Jason C. Grabosky

Abstract. The goal of this study was to determine how different pruning techniques affect trunk movement on live oak subjected to hurricane force winds. Tree movement in wind on nonpruned trees was compared with movement on trees with crowns thinned, reduced, or raised. Twenty trees were blown using a wind generator up to 45 m/s (110 mph) maintained for 3 min. Each tree was instrumented with three orientation sensors at set heights along the trunk to measure its deflection. Thinning or reducing crowns significantly reduced upper trunk movement at all wind speeds, whereas raising did not. Lower trunk movement was not affected by pruning type. These data indicated that foliage and branches toward the top of tree crowns were largely responsible for trunk movement in straight-line wind with those toward the bottom less important. Trees that are reduced or thinned in the manner described could receive less damage in windstorms.

Key Words. Crown raising; crown reduction; crown thinning; hurricanes; tree damage.

Homeowners perceive a high risk from trees in regions where hurricanes frequently reach shore (Peacock et al. 2005). Damage to utility lines is caused primarily by trees falling on and breaking the lines (Cook 1990). Structures positioned on properties with more trees per unit area received less damage from hurricane force winds than properties with a lower tree density; however, where there are more trees per unit area, the likelihood of tree breakage increases and these broken trees can fall on buildings (Tiwsdale 2005). Duryea et al. (1996) found that isolated trees were damaged more in hurricanes than those grouped together. However, few property owners recognize that trees can be managed to reduce risk; removal is not the only option (Peacock et al. 2005).

Stem taper and crown characteristics impact stability of trees (Valinger et al. 1993). Trees with less taper and those with most of the crown located high off the ground are more likely to fail in windstorms (Brudt and van Wassenaer 2002). Trees that are less tapered are more likely to fail in snow and wind events (Pettay and Worrell 1981; Galinsky 1989). Trees grown with good trunk taper resulting from wide spacing and stand thinning are less susceptible to breakage than trees with poor taper (Pettay and Worrell 1981).

Slender trees in dense stands can stand in storms because they are sheltered from direct winds by surrounding trees (Persson 1972). Tree stands are sometimes thinned to allow remaining trees to grow larger. Trees in thinned stands can develop good taper, larger crowns, and good root systems; and these characteristics can result in better stability compared with trees in denser stands (Pettay and Worrell 1981; Valinger et al. 1993). However, if wind occurs soon after the thinning operation, wind can penetrate the stand and damage trees before they have time to respond to the thinning. Damage to a thinned stand of trees decreases with time (Shepard 1975; Lohman and Helles 1987). Wind flowing through and around tree crowns has been extensively studied in dense forest stands (Massman 1987); however, no studies were found of wind flow through single trees grown in more open areas typical of planted trees in urban or suburban landscapes.

Lions tailing is a form of crown raising that removes most small-diameter interior branches along main scaffold branches and is not recommended (American National Standards Institute 2001) because it can potentially reduce stem and branch taper. This pruning treatment on very small Acer rubrum and Quercus virginiana trees (5 to 8 cm [2 to 3.2 in] diameter) has not resulted in greater overturning moment or tree movement in controlled wind tests compared with nonpruned trees or trees pruned in other manners (Smiley and Kane 2006; Gilman et al. 2008) probably because in both studies, trees were subjected to wind fields immediately after pruning. Branches could develop poor taper in the years after lions tailing making them more susceptible at that time; no studies have been conducted to test this hypothesis.

Rottmann’s (1985) data help create a case against lions tailing and overraising the crown, which force trees to grow taller and, in some cases, wider. This places more mass farther from the ground, which increases forces at the base of the tree and likelihood of failure (Peltola et al. 1999). Trees with a lower center of gravity are less likely to break than those with a higher center of gravity (Rottmann 1985). Reducing the length of certain branches helps create a lower center of gravity on those branches and might help contribute to their survival in windstorms. Reducing the entire crown size would also theoretically reduce damage potential, at least temporarily.

Pine and spruce, both with one dominant trunk and an excurrent habit, appear less likely to fail from wind than from snow loads. Ice and snow loading reduces the wind speed needed to tip trees over in storms (Peltola et al. 1997). Luley and Bond (2006) found that susceptibility to damage and subsequent recovery after ice storms vary considerably with tree species. Certain species tend to have a more dominant trunk than others; although not measured, this may have contributed to some of the differences in storm susceptibility. Branches that are small compared with the trunk diameter are less likely to fail at the union than branches that are large compared with the trunk (Gilman 2003).

Force of the wind increased exponentially with increasing wind speed according to Moore and Maguire (2002), but Raymer (1962) and others (Cullen 2005) showed that force on a tree crown increased about linearly with increased wind speed attributable to the reconfiguration of the crown, especially at higher wind speeds. Wind-induced forces on trees may increase more or
less linearly with wind speed until the crown cannot reconfigure itself in the wind and then more exponentially at higher wind speeds. This debate will continue for a long time because it is difficult to measure forces and reconfiguration on large trees (Cullen 2005). Wind speed also increases with distance from the ground making taller trees more likely to receive damage than shorter trees (Niklas and Spatz 2000). These complex and poorly understood relationships make utilization of theoretical models predicting trunk movement and subsequent damage difficult to use in practice.

The objective of this study was to determine how tree trunk movement was impacted by winds up to hurricane force after applying different pruning types to the crown. We measured movement with the assumption that more movement would equate to more damage to the tree, an assertion supported by others (James et al. 2006).

**MATERIALS AND METHODS**

In August 2001, we planted 80 cutting-propagated (clones) #1 liners of *Quercus virginiana* Cathedral Oak® live oak in a field with sandy soil (Millhopper sand). Trees were located at the University of Florida Great Southern Tree Conference demonstration site in Alachua County, Florida, U.S. (U.S. Department of Agriculture 1990 hardness zone 8), spaced on 2.4 m (7.92 ft) centers in eight rows 3.6 m (11.88 ft) apart. All trees were drip-irrigated three times daily in the growing season and less often in the cooler months. All trees were pruned twice each year to one central leader through 2004.

Trees were fertilized using 16:4:8 (N:P:K)/H23041 (Parker’s Super Soilife; Chemsico Inc., Division of United Industries Co., St. Louis, MO). In January 2002, the amount applied was 65 g (2.28 oz); in May 2002, 210 g (7.35 oz); and July 2002, 300 g (10.5 oz). Thereafter, trees received 400 g (14 oz) three times per year, in February or March, May, or June. Every other tree was removed from the field November 2004; in June 2006, every other tree was again removed leaving 20 trees for this study spaced 9.7 m (32 ft) apart within rows and 7.3 m (24 ft) apart between rows. Trees averaged 12 cm (4.8 in) caliper and were 6 m (19.8 ft) tall with a 2.7 m (8.9 ft) wide canopy.

Pruning types were defined in the American National Standard for Tree Care Operations (American National Standards Institute 2001). Pruning treatments tested were crown raised, reduced, thinned, and nonpruned control trees. Trees were randomly assigned to a pruning type. Pruning types were blocked in a visual attempt to evenly distribute remaining foliage throughout the crown; mean number of cuts was 12.2 with a diameter of 28.1 mm (1.1 in). Reduction made one cut through the trunk at the point that removed the targeted pruning dose from the top of the tree; mean cut diameter was 71 mm (2.8 in). Thirty-three percent of the foliage was the targeted pruning dose for all 15 pruned trees.

The relationship between branch diameter at the trunk and foliage weight on those branches was used to estimate the amount of foliage removed. This relationship was calculated by removing 15 branches from three extra trees removed before testing with a trunk caliper closest to the mean trunk diameter for test trees (12 cm [4.8 in]) for a total of 45 data points; five branches on each tree were in the top third of the crown, five were in the middle third, and five were in the bottom third of the crown. Branch diameter was measured where each branch met the trunk and all foliage was stripped from the branch and weighed fresh. A curve relating branch diameter to foliar fresh weight was calculated (Figure 1). Pulling all foliage from these three test trees gave the total foliar weight in the crowns from which an average was calculated. Once the average total foliar weight of these three trees was determined, the branch diameter squared corresponding to 33% of this number (Figure 1) was removed from each of the 15 pruned trees. Branches were pruned one by one and their squared diameters were summed. Pruning stopped on a tree once the sum of the squared diameters reached the point on the curve that was equal to 33% of average total canopy foliage weight.

The soil was brought to field capacity within a 10 ft (3 m) diameter circle centered on the trunk so that soil conditions would be similar for each tree tested. This was done by calculating the amount of water required to bring soil within the top 0.6 m (1.98 ft) to field capacity (1.4 m³ [49 ft³]) and then applying 1.5 times that amount of water before testing each tree. Water was applied 6 hr before blowing trees. This allowed water to percolate into the soil and drain bringing it to field capacity.

An airboat fan assembly created a large enough wind field to immerse the test trees. Power generation consisted of two stacked 496 in³ (8,267 mL) Chevrolet marine engines with Airboat Drive Units CH3 belt-driven reduction assembly. The drive units spun two 2 m (6.6 ft) long counterrotating propellers, which produced approximately 45 m/s (110 mph) wind speeds at maximum throttle. Two portable walls each 3.7 × 3.7 m (12.21 × 12.21 ft) were positioned between the propellers and the tree 2.4 m (7.92 ft) apart parallel to the wind field to confine the wind flow field and to improve flow uniformity. The walls began at the propellers and ended 1.5 m (4.95 ft) from the trunk. Behind the tree being tested was a 45° wind deflector, which protected the next tree in line to be tested.

Control and data acquisition were integrated into one system built from PXI hardware, 16-bit Series Multifunction DAQ devices, and Labview software National Instruments (Austin, TX). At 20 ms intervals, serial port commands were sent to a

![Figure 1. Relationship between fresh foliage weight and diameter of the branch on which foliage was borne on 45 branches from three trees.](image-url)
Pololu USB 16-Servo Controller that used pulse width modulation to control Hitec HS-5985MG digital coreless ultratorque servos attached to the engine throttle bodies (Hitec RCD USA, Poway, CA). Revolutions per minute (rpm) feedback was measured directly from the engine’s tachometer signal. To achieve maximum resolution, each tachometer measurement operated separately of the other engine and the data acquisition system for the test instruments. At 4,500 rpm, engine resolution was on the order of 10 to 20 rpm.

A RM Young wind monitor (Campbell Scientific, Logan, UT) measured wind speed at 2 m (6.6 ft) above the ground at the exit of the portable wall assembly 1.5 m (4.95 ft) in front of the crown. Dynamic characteristics of the anemometer’s four-blade polypropylene helicoid propellers include a 2.7 m (8.91 ft) 63% recovery distance constant and a damped natural wavelength of 7.4 m (24.42 ft). The wind monitor 50% recovery vane delay distance was 1.3 m (4.29 ft) and is rated for a 100 m/s (244 mph) gust survival.

The trees were instrumented on the leeward side of the trunk at three levels from the ground (104 to 109 cm [41.6 to 43.6 in], 180 to 196 cm [72 to 78.4 in], and 258 to 274 cm [103.2 to 109.6 in]) with Microstrain 3DM-GX1 orientation sensors (Williston, VT) that measured pitch away from the wind in degrees from vertical at 50 Hz (50 times each second). An embedded processor compensated for temperature and linear acceleration and performed a 16-bit A/D conversion; orientation resolution was less than 0.1°. An optional analog mode was enabled that reconstructed the output at 12-bit resolution. All three sensors were individually powered, and data were recorded as differential inputs. The center orientation sensor was positioned at the estimated crown center of gravity; the other two sensors were approximately 75 cm (30 in) above and below this point. The center of gravity was estimated by measuring all branch diameters (just beyond the branch union with the trunk) on three test trees, summing their branch cross-sectional areas, and moving up the crown until half the cross-sectional area was above and half below. The average center of gravity from these three trees was used as the center of gravity for all 20 trees in the study.

The testing protocol included a 2-min linear increase in wind speed from 10 m/s (24 mph) to 45 m/s (110 mph), at which time the engines maintained a constant rpm for 3 min. Three rudders were positioned just downstream of the propellers to break up the swirl from the downstream propeller. Wind speed traces for each of the 20 tests are shown in Figure 2. Variability between mean wind speed and gustiness is noted. These inconsistencies are a result of engine drift (equipment was relocated for each test) and environmental wind conditions. Maximum wind speeds reported by the ASOS station at the nearest airport (KGNV) during 31 May and 5 June 2006 reached 7 m/s (17 mph) in the late afternoon. Their contribution is also noted in the longitudinal turbulence intensity (the coefficient of variation of the component parallel to the mean wind direction), which varied from 1.1% to 3.1%.

The 50 measurements each second of wind speed and trunk bending angle at each of the three trunk positions were averaged to calculate a mean for each second; data were graphed as bending angle versus wind speed for each inclinometer. Linear and quadratic functions predicting trunk bending angle from wind speed were calculated using SAS GLM (SAS Institute, Cary, NC). Analysis of variance (ANOVA) as a randomized complete block design was used to compare bending angle among pruning treatments at various wind speeds. Maximum bending angle minus minimum bending angle during the first minute of maximum wind (approximately 45m/s [110 mph]) was calculated for each tree. Number of broken branches on each tree was counted and comparisons among treatments made with ANOVA. Duncan’s

![Figure 2. Wind speed traces of each tree tested showing wind speed over the 300 sec long test.](image-url)
multiple range test (MRT) was used to make comparisons among means when appropriate.

RESULTS AND DISCUSSION

Trees in all treatments never stopped reconfiguring crown shape as shown by the increased trunk bending with speed up to 45 m/s (110 mph) (Figures 3–5). Crown reconfiguration dissipates wind-induced forces in trees (Vogel 1996). There was no difference among treatments in the quantity maximum bending angle minus minimum bending angle (amplitude) during the first minute of maximum wind (approximately 45 m/s [110 mph]) indicating similar trunk amplitude for pruned and nonpruned trees (data not shown). The trees were either too small or the wind speed too constant to cause trees to sway like mature trees (James et al. 2006).

All three pruning types reduced ($P < 0.01$) trunk motion as measured by bending angle at all wind speeds in the lower (Figure 3) and middle crown (Figure 4) compared with trees that were not pruned. Trunks subjected to less harmonic motion experience less load forces, which increases tree stability (James et al. 2006), indicating that pruning reduces potential damage in wind. The three pruning types had similar bending angles at all wind speeds except at the top-most orientation sensor (upper crown) where reduced trees bent less than raised trees (Table 1). Each of the five reduced trees moved identically (Figures 3–5), whereas there was more variability from tree to tree in all other treatments.

Reduced and thinned trees bent in the upper crown significantly less ($P < 0.01$) than nonpruned trees probably because there was less foliage up high compared with nonpruned trees. In contrast, the upper crown of raised trees responded similar to trees that were not pruned (Figure 5; Table 1). This concurs with Brudi and van Wassenaer (2002) who found that in wind, foliage positioned at the top of trees resulted in greater force on the trunk than the same amount of foliage borne on branches closer to the ground. Moore and Maguire (2002, 2005) and others also showed that removing branches (pruning) changed the sway motion of the trunk, but they indicated that more than 80% of the crown mass had to be removed from the lower trunk to significantly reduce sway. We found significant reduction in motion with just 33% of foliage removed. The strict excursive growth habit on these conifers was quite different from the habit on our trees so direct comparison to our data could be misleading.

At 45 m/s (110 mph), the average maximum angle of deflection for the topmost orientation sensor on the five nonpruned trees was $45.8^\circ$ (Figure 5). This was not surprising because the biggest crowns, and thus the largest exposed projected frontal area, would catch the most wind causing greater drag (Rudnicki et al. 2004). Of the 20 trees tested, the one that had the most trunk deflection was a nonpruned tree that bent over at the top.
orientation sensor 64.2° from its original position (Figure 6). The lower portion of the trunk on this tree cracked in compression on the leeward side approximately 60 cm (24 in) from the ground. No other trunks cracked.

The similar bending angle among pruning types at the lowest and middle orientation centers might be explained by crown reconfiguration, which is the speed-specific reorientation of branches and leaves in the wind. Foliage on raised trees was borne on smaller-diameter branches (data not shown), which bent more into the windstream than larger branches. Branches on thinned trees may have been able to reconfigure into the holes created by pruning to allow air to pass through the crown as shown by Grant and Nickling (1998). Foliage on reduced trees was born on larger-diameter, stiffer branches lower in the crown, which likely bent less (videos of each tree suggested this). Stiffer branches likely resulted in less reconfiguration and a greater frontal area exposed to wind on reduced trees than raised and thinned trees. Despite the possible larger frontal area for reduced trees, force on the lowest and middle inclinometer positions may have been the same as on the other two pruning treatments because of reduced length of the lever arm in reduced trees.

The raising and reducing techniques were similar in that they reduced the size (at-rest projected frontal area) of the crown but in different places. However, the data showed trees pruned in these two ways reacted to wind loading very differently. Concentration of mass at the top of raised trees contributed to them bending over at the top of the crown almost twice as much as reduced trees (Table 1) as Brudi and van Wassenaer (2002) found. This dramatic (P < 0.01) increase in deflection on raised trees in the upper crown was dissipated by bending along the upper trunk and was not transferred to the lower portion of the trunk as shown by similar incline to reduced trees on the lowest inclinometer. Although this occurred on our young trees, wood in older trees is stiffer so it bends less (Bertram 1989). As a result, older trees may not respond as our young trees did. Instead of the force dissipating into trunk bending, the stiffer wood on older trees would transfer more of this force to the base of large branches and to the trunk increasing the likelihood of trunk and large branch failure on raised trees (Rottmann 1985; Brudi and van Wassenaer 2002). Raised trees in our study would be similar to overlifted or lions tailed trees in the landscape.

It should be noted that we could have underestimated trunk deflection for the raised trees. Our wind field extended 5.5 m (18.15 ft) aboveground level, and two raised trees stood at 6.7 m (22.11 ft) so their crowns were not entirely within the wind field (although crown deflection under wind loading lowered the heights of the tree to approximately 5.8 m [19.14 ft]). Despite this limitation, those trees partially out of the main wind field responded similarly to those inside the wind field (Figures 3–5). The nonpruned and thinned trees’ crowns were also not completely within the wind field before testing; however, once wind began to blow the trees, it caused them to reconfigure their branches and bend into the field. Reduced trees were within the wind field before testing began. Mayhead (1973) noted that the
leading shoots of several trees he tested were outside the wind flow and commented that it was likely an insignificant source of error.

Thinned trees bent over in the upper crown almost half as much as nonpruned trees. There are at least two explanations for this. First, thinning did not reduce the size (dimensions) of the crown as did the other two pruning types; however, porosity increased. Although we did not measure crown porosity, voids were obvious after pruning. Removing branches back to the trunk throughout the crown allowed wind to pass through the crown, which reduced force on the main trunk and subsequent movement (Grant and Nickling 1998). Instead of passing through the crown, wind had to push against and deflect around the crown in the other three treatments. This occurs in the forest when stands are thinned (by removing entire trees) and can cause more trees to fall than in a nonthinned stand (Gardiner et al. 2005). Second, branches on thinned trees appeared to move more than branches on other treatments, but branches did not appear to be moving in the same direction at the same time. This chaotic movement may have acted as a buffer to dampen (reduce) motion (Moore and Maguire 2005; James et al. 2006) resulting in less trunk movement (Figure 5). Increased motion of branches in the landscape could result in more broken branches instead of a broken trunk as occurred on one nonpruned tree. Thinning could provide the tree a means of shedding wind load; branches might break as a result of increased motion, thus preserving the trunk.

Response of thinned trees appears to conflict with Gilman et al. (2008) who found that at wind speeds over 20.1 m/s (45 mph) crown thinning was less effective at reducing trunk movement than reducing. However, thinning in Gilman et al. (2008) removed twigs only from the outer portion of the crown creating very small voids in the crown edge, whereas thinning in the current study removed branches entirely back to the trunk. The large voids we created in the crown may have accounted for less trunk movement.

Foliage lost as a result of wind occurred with all trees in all treatments. However, the trunks did not drift back toward the wind source during the 3-min 45 m/s (110 mph) wind event.
In conclusion, removing branches from the crown of live oak reduced trunk movement in wind. Although this result was expected, we quantified the relationship showing that removal of 33% of the foliage with reduction or thinning pruning types resulted in less than half the movement compared with nonpruned trees. Reduced motion likely resulted in lesser forces transferred to the trunk (Kerzenmacher and Gardiner 1998) and reduced likelihood of trunk failure in wind. This effect is likely a combination of reduced frontal area, reconfigured crown shape, and mass damping (James et al. 2006). Thinning and reduction appeared most effective at reducing trunk movement in the upper crown. Lions tailing or crown raising appeared ineffective at reducing upper trunk movement in windstorms. All pruning types reduced lower trunk movement by the same amount compared with nonpruned trees. Much more research is needed, including the testing of different species, different pruning doses, larger trees, gusty winds, and testing the effect of wind for longer durations. In addition, we know nothing about how long the pruning effect on movement described will last.

LITERATURE CITED

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Résumé. Le but était de déterminer comment différentes techniques d’élagage affectent le mouvement du tronc chez des chênes verts soumis à des vents d’ouragans. Le mouvement des arbres non élagués face au vent a été comparé à ceux dont la cime avait été éclaircie, réduite ou rehaussée. Vingt arbres ont été soufflés au moyen d’un générateur de vents jusqu’à 45 m/s maintenu pendant 3 minutes. Des senseurs tridimensionnels avaient été fixés sur les arbres à différentes hauteurs prédéterminées le long du tronc pour mesurer sa déflexion. L’éclaircissage ou la réduction de cime diminuait le mouvement de la section supérieure du tronc à toutes les vitesses de vents tandis que le rehaussement n ’avait
aucun effet. Le mouvement de la section inférieure du tronc n’était pas affecté par le type d’élagage. Ces données indiquent que les feuillage et les branches vers le sommet de la cime sont largement responsables dans le mouvement du tronc en ligne droite alors que celles vers le bas de la cime sont moins importantes à ce chapitre. Les arbres qui sont réduits ou éclaircis de la manière décrite pourraient être l’objet de moins de dommages lors de tempêtes de vents.


**Resumen.** El objetivo fue determinar cómo las diferentes técnicas de poda afectan el movimiento del tronco de encinos sujetos a vientos huracanados. El movimiento del árbol con el viento sobre árboles no podados fue comparado al movimiento de árboles con copas aclaradas, reducida o elevadas. Veintitrés árboles fueron azotados por el viento usando un generador arriba de 45 m/s (110 mph), mantenido por tres minutos. Cada árbol fue instrumentado con sensores de orientación a diferentes alturas a los largo del tronco como una medida de su deflexión. El aclareo o reducción de la copa redujo significativamente el movimiento en la parte superior del tronco a todas las velocidades del viento, mientras que la elevación de copa no lo hizo. El movimiento de la parte baja del tronco no fue afectado por el tipo de poda. Estos datos indicaron que el follaje y las ramas hacia la parte alta de la copa del árbol fueron principalmente responsables del movimiento del tronco en línea directa con el viento con la parte baja menos importante. Los árboles que son reducidos o aclarados en la forma descrita podrían recibir menos daño con vientos de tormentas.