ENGINEERING AND CONSTRUCTION
ALTERNATIVES TO LINE CLEARANCE TREE WORK

by John W. Goodfellow

Abstract. Electric utilities routinely prune trees to maintain clearance between branches and overhead electric conductors. Alternative methods of overhead and underground line construction can reduce the impact of electric utility lines on trees. The greatest opportunities for incorporating alternative utility designs into the urban forest come at the time of original construction or when changes are made to the existing utility infrastructure.

Tree-caused outages are a major concern to the electric utility industry. In many areas, trees are the primary threat to service reliability. The industry has long engaged in extensive pruning and removal of trees that threaten overhead lines. Annual expenditures run in excess of $1.5 billion.

Electric utilities are in the business of selling reliable service. It is reliability that differentiates one company's product from another's. As the industry faces increased competition, reliability takes on new importance. As an industry, utilities also have an obligation to ensure the safety of their employees and the general public. Interference between trees and energized electric conductors is a threat to such safety. Finally, investor-owned utilities have a financial obligation to their shareholders. Construction and maintenance costs, including line clearance tree work, can be substantial.

Utility lines and construction techniques vary considerably. This paper focuses primarily on construction sites in the urban forest, and on 4 kV to 34.5 kV electric distribution lines (the most common type of “power line” found in urban and residential areas), which distribute power from substations to the consumer. High-voltage transmission lines are not included in this discussion. Many other types of utility lines are found in the urban forest, including telephone, cable TV, streetlight circuits, and traffic signal circuits. Because their tree/wire interaction is much less significant than with electric distribution circuits, these other types of facilities are not discussed in this paper.

Tree-caused outages occur when a tree contacts an energized conductor. This contact breaches the electrical integrity of the line, causing a fault or “short.” Tree-caused faults occur because the tree provides a path to the ground or between phase wires of the electric system. Most tree-related outages happen when trees or branches break, the wind causes branch movement, the electric load on the line increases, or when high temperatures cause the conductors to sag. The purpose of utility line clearance tree work is to maintain adequate clearance between conductors and trees so that interference is avoided.

Opportunities for Change
The best time to address the adverse effects of trees on distribution lines is at the time of initial design. Other opportunities arise when the existing infrastructure is altered for road widenings, relocations, or upgrades to the electric system. It is the author's experience that only in exceptional cases can the cost of converting an existing system to an alternative type of construction be justified on the basis of reliability or avoided cost of future tree maintenance and repair. Where the existing system has depreciated and is operationally adequate, there is little or no financial incentive for a utility to pursue conversion. And, the question of who pays for the “improvement” must be addressed (the municipality, those customers directly benefiting from the project, or all rate payers?).

Overhead vs Underground Construction
Both overhead and underground electric distribution lines are commonly found in the urban forest. The dominant construction trend in urban
areas is to place new urban and residential utility systems underground. Both categories of construction have their own unique characteristics. Generally, underground facilities in urban areas are more expensive to build. The materials used in underground construction are considerably more expensive, as is the effort required during construction. In addition, unlike overhead lines which are easily upgraded, underground facilities are usually designed and built with capacity to serve the probable future load. The current shift away from direct-bury to conduit construction methods allows greater flexibility to upgrade. However, initial costs are high due to increased material costs for the conduit pipe and vaults, and the increased labor required for pulling cable into the duct system. Increased costs and underused plant capacity are accepted trade-offs with underground system designs.

Differences in the practical aspects of underground and overhead construction can also be notable. Overhead construction tends to be predictable because most construction problems can be anticipated. Underground construction in urban and developed areas can be a challenge. Competition with other utilities for space underground can be as intense as that found in the world of overhead distribution lines, where wires and trees compete to occupy the same space. The variable nature of manmade urban soils is another challenge. Coarse fill of construction and demolition debris can create problems during underground trenching, and particularly for trenchless construction technologies discussed later in this paper. Finally, the cost of site restoration cannot be overstated. Restoration costs can be the greatest share of the total cost of an underground construction project. Mature landscapes, structures, and pavements can be very difficult and expensive to adequately restore. The impact of restoration issues is the greatest in open trench construction, is less for overhead projects, and can be negligible on trenchless underground projects.

Underground construction eliminates trees as a cause of outages. Although the resulting improvement in reliability can be dramatic, underground systems are not without their own problems. The life of some underground cables is considerably shorter than expected, and accidental dig-ups are common. While overhead outages are less frequent, underground outages often are longer in duration due to the difficulty of locating faults, and they are more expensive to repair. The faulted cable must be excavated and repaired, and the site restored, again at considerable expense.

Despite these issues, underground construction is often the only acceptable method for new construction in urban and residential areas. Regulation, franchise requirements, and operation tariffs establish this preference in many cases. Despite improvements in construction methods, technology, and materials, underground construction generally is more costly than a comparable overhead line. Increased coordination between all the utilities competing for space in joint occupancy trenches, and the sharing of trenching and restoration costs is reducing the economic impact of underground construction for all utilities. The current trend on large construction projects is for the developer or general contractor to provide the trench and restore the site. This reduces the utility's costs.

**Overhead Design Alternatives**

A variety of overhead construction designs alter the space requirements of distribution lines and their impact on trees. This discussion contrasts these alternatives with open construction (bare wire and crossarms) Even within this benchmark reference, variation can be found. The placement of the system neutral either up on the arm or below, and the proximity of the hot phases to trees can change the performance and reliability of open construction (Figure 1).

*Alley or wing arms* (framing a crossarm and braces off one side of the pole in an inverted "L" configuration), can gain several feet of side clearance. Adding a wing arm requires some conductor slack, and may also require a down guy to compensate for offset mechanical loads on the pole. Of course, the structural integrity of the adjacent tree will have a major influence on reliability. Clearance requirements between conductors and trees remain the same as for conventional open overhead construction (Figure 2).
Obviously, this is a solution only if the land across the street has no tree problem.

*Compact construction* framing, which has seen increased popularity in recent years, moves the bare conductors closer together. This can reduce the clearance space required in the crowns of trees. However, the reduced spacing of the conductors may increase the probability of phase-to-phase outages. In other words, a smaller branch is capable of causing an electrical short between two wires (Figure 3).

*Covered overhead primary* (COP) is a departure from the previously discussed bare wire designs. COP can serve as the conductor in either conventional crossarm construction or compact designs. Conventional bare conductor designs rely on physical separation and the dielectric strength of air to provide sufficient insulation. “Tree wire” COP conductors are covered with polyethylene. While usually not code-rated insulation, the covering does have some insulating
qualities, so tree branches are much less likely to cause a phase-to-phase or phase-to-ground fault. But, because the insulation is unrated, an outage is likely if an offending branch remains in contact with the line over several days or weeks. As leakage current flows from conductor to the tree through the covering, the covering's dielectric strength is lost. Ultimately a fault will occur. Branches in contact with COP conductors need to be removed in a timely manner. Therefore, COP construction requires more frequent patrols, and line clearance tree work remains necessary. The industry's practice generally is to apply the same tree-conductor clearance requirements to COP as it would to bare wire construction.

Spacer systems manufactured by Hendrix (Figure 4) can be thought of as a combination of two ideas: covered wires and compact configuration. A spacer system brings the individual phase wires very close to each other, achieving a compact configuration that reduces the crown area of a tree affected by the distribution line. The conductors used in spacer systems have a thicker covering than tree wire. The increased covering thickness results in increased dielectric strength. Though not a rated insulation, spacer cable's ability to reduce tree-related outages is well recognized. As with other COP construction, the dielectric strength of the covering is lost through leakage at points of direct contact by trees. The ultimate result will be a fault as the covering burns through. Line clearance tree work is still necessary. The industry is debating whether less tree/conductor clearance can be tolerated. A recent survey by the Edison Electric Institute indicated that the industry felt by a three-to-one margin that the same tree/conductor clearances required on bare wire construction should apply to spacer cable (Figure 5).

Because COP uses a covered conductor, the power system may be unable to sense a downed wire. With conventional bare wire construction, protective relays will sense a fault and operate protective sectionalizing equipment, de-energizing the faulted circuit. The same cannot be said with covered conductors. The probability increases...
that lines could be down and energized. Because
the covering on neither COP nor spacer cable can
be considered insulation, a person may suffer
serious injury when coming in accidental contact
with the downed line.

Aerial cable systems, while having only limited
application, deserve mention. Basically they can
be thought of as underground cables strung
overhead. Just like underground cables, they are
shielded and have fully rated insulation. They
typically are strung pole to pole, wrapped in a
bundle, much like the triplex commonly used for
residential services. Aerial cable has been used in
heavily stocked urban forests where utility facili-
ties are sited on back lot lines and alleys. Tree-
caused outages are nearly eliminated. Clearances
are only a concern from the standpoint of me-
chanical loading and abrasion (Figure 6).

Underground Installation Alternatives
Converting an existing overhead system to
underground is expensive. Beyond the obvious
issue of replacement of conductors, underground
conversion requires other new plant equipment
such as transformers and switches. This section
describes several underground construction
techniques.

Cable plowing involves drawing a heavy knife
or plow through the soil profile from the surface to
the desired cable placement depth. Cables are
fed into the void created behind the plow. The
plow is capable of placing cables to depths in
excess of 30 inches. Plowing distribution cables
at depth requires substantial power and continu-
ous access across the site by heavy equipment.
This technique is best suited to rural areas where
underground obstructions, including other ele-
ments of utility infrastructure, are minimal, and
where site disturbance is acceptable. Because of
these limitations cable plowing is unsuitable in
most urban areas. It is, however, a cost effective
method where it can be used.

Open trench underground construction is the
most common method used in new urban and
residential utility construction today. A trench is
excavated using a backhoe or other mechanical
trencher. Conduits or cables are placed in the
trench, and the trench is backfilled. Both tech-
niques, plowing and open trench, place the cables
via direct excavation through the soil profile. Any
intercepted tree roots are severed. Therefore,
placement of the trench in relation to trees is
critical. Open trenching can offer a joint utility
trench occupied by electric, gas, telephone, cable
television, and other utilities. Joint occupancy
reduces the cost of construction to any one utility
and confines the impact of underground utilities to
a smaller area. A carefully designed trench route
can minimize the adverse effects of trenching on
trees (Figure 7).

Wheel trenching with a “rock saw” trencher in
the street or at the shoulder of the road, can
minimize the impact of underground construction
on trees, particularly in well-established land-
scapes. This technique cuts a narrow trench
through the soil profile, making this type of exca-
vation suitable for the road shoulder or out in the
street. It is particularly effective where restoration
costs for established landscaping would be ex-
cessive. Again, the trench should be routed to
avoid major tree roots (Figure 8).

Trenchless underground construction meth-
ods vary, but they can be divided into two groups:
Goodfellow: Line Construction Alternatives

Figure 7. Open trench construction.

Figure 8. Wheel trench construction.

Figure 9. Unguided trenchless construction — mole method.

those technologies with limited ability to be directed, and boring methods with directional steer-age control.

"Unguided" trenchless technologies include pneumatic "moles" that hammer their way horizontally through the soil, and steel rods that are driven by hydraulic rams through the soil. Both unguided methods work by compressing displaced soil out of the way. Conduit or cables are pulled in behind the rod or mole, into the void created as it is driven through the soil profile. Note that while these techniques are called "unguided," an experienced operator can direct the alignment of the tunnel, especially with rod pushers, which have a fair degree of "steerability." Both techniques require the excavation of launching and recovery pits at the beginning and termination of the tunnel. Tunnel length is also somewhat limited compared to some of the guided boring techniques. However, both moles and rod pushers are well suited to short distances such as road crossings and tunneling under high-value trees (Figure 9).

Guided boring trenchless technology represents the state of the art in underground construction. It differs from unguided methods in that the tunnel is bored, meaning soil is excavated, typically using a mechanical cutting head and or a high-pressure fluid jet. This technology includes boring rigs that are highly steerable, allowing an experienced operator to "fly" the cutting head through the soil profile, effectively avoiding obstacles. However, it is standard practice to "pot hole" or excavate, at sites where the boring head intercepts other elements of the underground infrastructure or other obstructions. Launching and recovery pits are also necessary. As with the other trenchless technologies, conduit or cable is drawn into the tunnel. The success of trenchless boring is highly dependent on soil structure. The presence of aggregate (natural or manmade) material can dramatically reduce production or make the technology impractical (Figure 10).

Appendix, Table 1 gives the pros and cons of engineering solutions for underground distribution lines. Table 2 gives the same for overhead distribution lines.
Resume. Les entreprises de services électriques élaguent de façon cyclique les arbres dans le but de maintenir une zone de dégagement entre les branches et les conducteurs électriques aériens. Des méthodes alternatives de construction des lignes électriques peuvent réduire l'impact des lignes sur les arbres. La meilleure opportunité pour introduire des schémas alternatifs demeure au moment de la construction originale ou lorsque des changements sont réalisés sur l'infrastructure existante du réseau.


Conclusions
The opportunity to consider alternatives to bare open wire overhead lines is greatest at the time of original construction, or at times when the system is changed for other reasons. Urban and utility foresters are encouraged to recognize these windows of opportunity.

The construction alternatives presented here more often than not will come at a premium cost. At times, these costs may be justified. On the other hand, we have a responsibility to recognize that heroic efforts to preserve trees and the associated expense may not be appropriate in all cases.

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## Appendix. Table 1

### The Pro's and Con's of Engineering Solutions - Underground Distribution Lines

<table>
<thead>
<tr>
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<tbody>
<tr>
<td>OH Wing Arm</td>
<td>100-105</td>
<td>Requires sufficient conductor slack. May require additional guying</td>
<td>Access from pole reduced, may require use of a bucket truck</td>
<td>No significant difference</td>
<td>No Significant difference</td>
<td>Clearances change spacially (+ or - 4 ft.)</td>
</tr>
<tr>
<td>OH Re-route</td>
<td>105-125</td>
<td>Requires new pole, additional conductor, and guys</td>
<td>No significant difference</td>
<td>No significant difference</td>
<td>Reduction or elimination of tree related conflicts</td>
<td>Elimination or conflict shift to alternate site?</td>
</tr>
<tr>
<td>OH Compact Configuration</td>
<td>95-110</td>
<td>Electrical clearance codes may require new poles. Shorter spans</td>
<td>Reduced phase spacing can restrict working clearances</td>
<td>Reduced working clearances</td>
<td>Can result in reduced reliability due to phase-phase outages</td>
<td>Potential reduction in total crown area affected due to lighter spacing</td>
</tr>
<tr>
<td>Covered OH primary a.k.a. &quot;Tree Wire&quot;</td>
<td>110-120</td>
<td>Increased mechanical loads may require hardware/pole upgrades</td>
<td>Work practices such as use of working grounds are more difficult. Requires regular patrols. Coating requires increased work for splices and taps</td>
<td>Reduced ability of system protection to detect downed and energized conductors. Presents a risk to public safety.</td>
<td>Reduction in branch failure caused phase-phase faults</td>
<td>Not considered a means to eliminate line clearance tree work.</td>
</tr>
<tr>
<td>Aerial Spacer Cable a.k.a. &quot;Hendricks System&quot;</td>
<td>120 - 200</td>
<td>Increased mechanical loads likely to require some hardware and pole upgrades.</td>
<td>Dramatically reduced phase spacing and conductor coating may increase working difficulties. Requires regular patrols. Covering requires increased work for splices &amp; taps</td>
<td>Reduced ability of system protection to detect downed of energized conductors. Heavy conductor covering reduces potential risk.</td>
<td>Significant reduction in branch failure caused phase-phase faults</td>
<td>Reduction in total crown are affected due to tighter spacing. Debate over reductions in clearance required</td>
</tr>
<tr>
<td>Aerial Cable (true cable)</td>
<td>200+</td>
<td>Increased mechanical loads will require significant hardware and pole upgrades.</td>
<td>Insulation requires increased work for splices and taps. Work it like UG cables. May require outage to make taps.</td>
<td>Reduced ability of system protection to detect downed &amp; energized conductors. Rated insulation reduces risk of adverse effect on public safety</td>
<td>Dramatic reduction in branch failure caused phase-phase faults</td>
<td>Reduced clearance requirements. More a mechanical than electrical clearance issue.</td>
</tr>
</tbody>
</table>

Cost relationship is provided as a general relative cost. No cost units are implied. The intent is to provide a comparative cost reference. All values are stated as related to bare overhead 3 φ distribution primary (100)
### Appendix. Table 2.

#### The Pro's and Con's of Engineering Solutions - Overhead Distribution Lines

<table>
<thead>
<tr>
<th>Alternative</th>
<th>New Constr. cost relationship</th>
<th>Retro Fit Issues</th>
<th>Operational Issues</th>
<th>Safety Consideration</th>
<th>Net effect on Reliability</th>
<th>Impact on Trees</th>
</tr>
</thead>
<tbody>
<tr>
<td>UG, cable plow</td>
<td>200+</td>
<td>Entirely new plant including transformer, secondary &amp; services may be required. Impractical in developed areas</td>
<td>Limital application as plant and UG infrastructure becomes more complex</td>
<td>None</td>
<td>Elimination of tree as a significant cause of interruption</td>
<td>Highly variable dependent on where cable is plowed in relation to trees root system</td>
</tr>
<tr>
<td>UG, open trench</td>
<td>200-400+ *highly dependent on site restoration costs</td>
<td>Entirely new plant including transformer, secondary, services may be required. Site restoration may be a major expense. High level of site disturbance</td>
<td>Excellent opportunity to share expenses with other utilities. Joint trench requires high level of coordination and cooperation. Note: trench excavation may not be utility's responsibility</td>
<td>None</td>
<td>Elimination of tree as a significant cause of interruption</td>
<td>Highly variable, dependent on where trench is dug relative to trees root system</td>
</tr>
<tr>
<td>UG, wheel trench</td>
<td>200-300 *highly dependent on site restoration costs</td>
<td>Entirely new plant including transformer, secondary &amp; services may be required. Increased difficulty when significant other UG infrastructure is present</td>
<td>Requires cooperation by gov't agency jurisdiction over streets and public ROW</td>
<td>None</td>
<td>Elimination of tree as a significant cause of interruption</td>
<td>variable, generally low to no adverse impact</td>
</tr>
<tr>
<td>UG trenchless unguided push rod or mole</td>
<td>300-400+</td>
<td>Entirely new plant including transformer, secondary &amp; services may be required</td>
<td>Generally requires significant disturbance at launch &amp; recovery pits. Highly dependent on soil conditions. Pits required at direction changes &amp; &quot;pot holes&quot; at utility crossings. (Best suited to short runs) Low production rates</td>
<td>None</td>
<td>Elimination of tree as a significant cause of interruption</td>
<td>Little to none provided launch &amp; recovery pits sited properly</td>
</tr>
<tr>
<td>UG trenchless guided bore</td>
<td>200-400+</td>
<td>Entirely new plant including transformer, secondary &amp; services may be required</td>
<td>Highly dependent on soil conditions. &quot;Pot holes&quot; at utility crossings. Low production rates. Less suited to high plant instability installations</td>
<td>None</td>
<td>Elimination of tree as a significant cause of interruption</td>
<td>Little to no adverse impact</td>
</tr>
</tbody>
</table>

Cost relationship is provided as a general relative cost. No cost units are implied. The intent is to provide a comparative cost reference. All values are stated as related to bare overhead 3 d.g distribution primary (100)